

This Product Contains Sensitive Taxpayer Data

# Wage and Income Transcript

Request Date: 02-14-2024
Response Date: 02-14-2024
Tracking Number: 105547258360

SSN Provided: 249-82-6362

Tax Period Requested: December, 2018

# Form W-2 Wage and Tax Statement

### Employer:

Employer Identification Number (EIN):680128848 FAIRFIELD SUISUN UNIFIED SCHOOL DIS 2490 HILBORN ROAD FAIRFIELD, CA 94534-4534

## Employee:

Employee's Social Security Number: 249-82-6362 LEANN J LYTLE 3700 LYON ROAD #23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Wages, Tips and Other Compensation:	\$47,226.00
Federal Income Tax Withheld:	\$4,124.00
Social Security Wages:	\$50,791.00
Social Security Tax Withheld:	\$3,149.00
Medicare Wages and Tips:	\$50,791.00
Medicare Tax Withheld:	\$736.00
Social Security Tips:	\$0.00
Allocated Tips:	\$0.00
Dependent Care Benefits:	\$0.00
Deferred Compensation:	\$0.00
Code "Q" Nontaxable Combat Pay:	\$0.00
Code "W" Employer Contributions to a Health Savings Account:	\$0.00
Code "Y" Deferrals under a section 409A nonqualified Deferred Compensation plan:	\$0.00
Code "Z" Income under section 409A on a nonqualified Deferred Compensation plan:	\$0.00
Code "R" Employer's Contribution to MSA:	\$0.00

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Code "S" Employer's Contribution to Simple Account:	\$0.00
Code "T" Expenses Incurred for Qualified Adoptions:	\$0.00
Code "V" Income from exercise of non-statutory stock options:	\$0.00
Code "AA" Designated Roth Contributions under a Section 401(k) Plan:	\$0.00
Code "BB" Designated Roth Contributions under a Section 403(b) Plan:	\$0.00
Code "DD" Cost of Employer-Sponsored Health Coverage:	\$10,646.00
Code "EE" Designated ROTH Contributions Under a Governmental Section 457(b) Plan:	\$0.00
Code "FF" Permitted benefits under a qualified small employer health reimbursement arrangement:	\$0.00
Code "GG" Income from Qualified Equity Grants Under Section 83(i):	\$0.00
Code "HH" Aggregate Deferrals Under Section 83(i) Elections as of the Close of the Calendar Year:	\$0.00
Third Party Sick Pay Indicator:	Unanswered
Retirement Plan Indicator:	Yes - retirement plan
Statutory Employee:	Not Statutory Employee
W2 Submission Type:	Original
W2 WHC SSN Validation Code:	Correct SSN

# Form 5498 Individual Retirement Arrangement Contribution Information

### Trustee:

Trustee/Issuer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

### Participant:

Participant's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SZ10145280539896
IRA Contributions:	\$0.00
Rollover Contributions:	\$0.00
Roth Conversion Amount:	\$0.00
Recharacterized Contributions:	\$0.00
Fair Market Value of Account:	\$0.00
Life Insurance Cost Included in Box 1:	\$0.00
SEP Code:	Not Checked
IRA Code:	Not Checked
Simple Code:	Not Checked
Roth IRA Code:	Checked
RMD For Subsequent Year:	RMD box not checked
RMD Date:	00-00-0000
Year:	

Postponed Contribution Code: Repayments Code: Fair Market Value of certain specified assets: N/A SEP Contributions: \$0.00 SIMPLE Contributions: \$0.00 Roth IRA Contributions: \$133.00 Required Minimum Distribution Amount: \$0.00 \$0.00 Postponed Contributions: \$0.00 Repayment of a qualified reservist distribution or federally designated disaster withdrawal repayment: Fair Market Value of Certain Specified Assets: \$0.00

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941257
Date Sold or Disposed:	04-04-2018
CUSIP Number:	023135106
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$4.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	AMAZON.COM INC
Second Notice Indicator:	
Date Acquired:	03-05-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Proceeds from Collectibles: Box not checked no Filing Requirement
Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941258
Date Sold or Disposed:	04-04-2018
CUSIP Number:	023135106
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$8.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$9.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	AMAZON.COM INC
Second Notice Indicator:	
Date Acquired:	03-14-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
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Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941259
Date Sold or Disposed:	03-12-2018
CUSIP Number:	037833100
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	APPLE INC
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$
Loss Not Allowed Indicator:	

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

FATCA Filing Requirement:

Proceeds from Collectibles:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Box not checked no Filing Requirement

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:	Original document
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Account Number (Optional):	7SC45620248941260
Date Sold or Disposed:	03-26-2018
CUSIP Number:	084670702
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	BERKSHIRE HATHAWAY INC DEL CL B
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term

Loss Not Allowed Indicator:

Applicable Check Box on Form 8949:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement
Box not checked not reporting

being reported to the IRS

Short term transaction for which the cost or other basis is

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453

APEX CLEARING

ONE DALLAS CENTER

DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:
Account Number (Optional):
Date Sold or Disposed:

Original document 7SC45620248941261 03-26-2018

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CUSIP Number:	084670702
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	BERKSHIRE HATHAWAY INC DEL CL B
Second Notice Indicator:	
Date Acquired:	03-05-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941262
Date Sold or Disposed:	08-24-2018
CUSIP Number:	084670702
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$5.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00

Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$5.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: BERKSHIRE HATHAWAY INC DEL CL B Second Notice Indicator: 05-29-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator: FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7sC45620248941263
Date Sold or Disposed:	08-24-2018
CUSIP Number:	084670702
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	BERKSHIRE HATHAWAY INC DEL CL B
Second Notice Indicator:	
Date Acquired:	06-29-2018

Noncovered Security Indicator:

Nothing checked

Type of Gain or Loss Code: Short-term

Applicable Check Box on Form 8949:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement
Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

FAIRFIELD, CA 94534-0000

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23

Submission Type:	Original document
Account Number (Optional):	7SC45620248941264
Date Sold or Disposed:	03-12-2018
CUSIP Number:	254687106
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	WALT DISNEY CO
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is

Proceeds from Collectibles:

Loss Not Allowed Indicator:
FATCA Filing Requirement:

Box not checked no Filing Requirement
Box not checked not reporting

being reported to the IRS

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SC45620248941265 Account Number (Optional): Date Sold or Disposed: 03-14-2018 CUSIP Number: 26924G508 Gross Proceeds: Gross proceeds Bartering: \$0.00 Federal Income Tax Withheld: \$0.00 \$91.00 Proceeds: Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$100.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 ETF MANAGERS TRUST ETFMG ALTERNATIVE HA Description: Second Notice Indicator: 01-31-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949:

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

## Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING

being reported to the IRS

ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

FATCA Filing Requirement:

Proceeds from Collectibles:

Submission Type: Original document Account Number (Optional): 7SC45620248941266 Date Sold or Disposed: 08-24-2018 CUSIP Number: 26924G706 Gross Proceeds: Gross proceeds Bartering: \$0.00 \$0.00 Federal Income Tax Withheld: Proceeds: \$4.00 Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$5.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: ETF MANAGERS TRUST ETFMG VIDEO GAME TEC Second Notice Indicator: Date Acquired: 05-29-2018 Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator:

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000 Box not checked no Filing Requirement

Submission Type:	Original document
Account Number (Optional):	7SC45620248941267
•	08-24-2018
Date Sold or Disposed:	
CUSIP Number:	26924G706
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ETF MANAGERS TRUST ETFMG VIDEO GAME TEC
Second Notice Indicator:	
Date Acquired:	06-29-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

# Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941268
Date Sold or Disposed:	03-16-2018
CUSIP Number:	33733E302
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00

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Proceeds:	\$5.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST ETF DOW JONES INTERNET INDE
Second Notice Indicator:	
Date Acquired:	03-05-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941269
Date Sold or Disposed:	03-26-2018
CUSIP Number:	33734X192
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$37.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$40.00
Wash Sale Loss Disallowed:	\$0.00

Accrued Market Discount Amount: \$0.00

Description: FIRST TRUST CLOUD COMPUTING ETF

Second Notice Indicator:

Date Acquired: 03-12-2018

Noncovered Security Indicator: Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Box not checked no Filing Requirement

Proceeds from Collectibles: Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER

### Recipient:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

DALLAS, TX 75201-0000

FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941270
Date Sold or Disposed:	08-24-2018
CUSIP Number:	33734X192
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$16.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$15.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST CLOUD COMPUTING ETF
Second Notice Indicator:	

Date Acquired:

05-29-2018

Noncovered Security Indicator: Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949:

being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Proceeds from Collectibles: Box not checked no Filing Requirement
Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SC45620248941271 Account Number (Optional): Date Sold or Disposed: 08-24-2018 CUSIP Number: 33734X192 Gross Proceeds: Gross proceeds Bartering: \$0.00 Federal Income Tax Withheld: \$0.00 Proceeds: \$20.00 Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$20.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: FIRST TRUST CLOUD COMPUTING ETF Second Notice Indicator: 06-29-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Box not c

Box not checked no Filing Requirement

Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

Proceeds from Collectibles:

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941272
Date Sold or Disposed:	03-26-2018
CUSIP Number:	30303M102
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$8.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31	\$0.00
Current Year:	
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FACEBOOK INC CL A
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Proceeds from Collectibles:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

FATCA Filing Requirement:

Proceeds from Collectibles:

Submission Type: Original document Account Number (Optional): 7SC45620248941273 Date Sold or Disposed: 04-04-2018 CUSIP Number: 33734X846 Gross Proceeds: Gross proceeds Bartering: \$0.00 \$0.00 Federal Income Tax Withheld: Proceeds: \$11.00 Aggregate Profit or (Loss): \$0.00 \$0.00 Realized Profit or (Loss): Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$10.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: FIRST TRUST EXCHANGE TRADED FD II FIRST Second Notice Indicator: Date Acquired: 08-16-2017 Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator:

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

Original document
7SC45620248941274
04-04-2018

Box not checked no Filing Requirement

/ 17/27, 11.70 AW	Wage and meetile0002 ALE 1 O'NNO 201012 100047200000-10
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$11.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST EXCHANGE TRADED FD II FIRST
Second Notice Indicator:	
Date Acquired:	09-29-2017
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941275
Date Sold or Disposed:	04-04-2018
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$22.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00

Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$20.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: FIRST TRUST EXCHANGE TRADED FD II FIRST Second Notice Indicator: Date Acquired: 10-04-2017 Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator: FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7sc45620248941276
Date Sold or Disposed:	04-04-2018
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST EXCHANGE TRADED FD II FIRST
Second Notice Indicator:	
Date Acquired:	11-01-2017

Noncovered Security Indicator:

Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

# Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941277
Date Sold or Disposed:	04-04-2018
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST EXCHANGE TRADED FD II FIRST
Second Notice Indicator:	
Date Acquired:	11-30-2017
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term

Applicable Check Box on Form 8949:

Loss Not Allowed Indicator:

FATCA Filing Requirement: Proceeds from Collectibles: being reported to the IRS

Short term transaction for which the cost or other basis is

Box not checked no Filing Requirement Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SC45620248941278 Account Number (Optional): Date Sold or Disposed: 04-04-2018 CUSIP Number: 33734X846 Gross Proceeds: Gross proceeds \$0.00 Bartering: Federal Income Tax Withheld: \$0.00 \$10.00 Proceeds: Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$10.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 FIRST TRUST EXCHANGE TRADED FD II FIRST Description: Second Notice Indicator: 01-08-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator:

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

## Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

ONE DALLAS CENTER
DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941279
Date Sold or Disposed:	04-04-2018
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$49.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31	\$0.00
Prior Year:	
Unrealized Profit or (Loss) 12/31	\$0.00
Current Year:	650.00
Cost or Basis:	\$50.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST EXCHANGE TRADED FD II FIRST
Second Notice Indicator:	
Date Acquired:	03-01-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Proceeds from Collectibles:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941280
Date Sold or Disposed:	04-04-2018
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST EXCHANGE TRADED FD II FIRST
Second Notice Indicator:	
Date Acquired:	03-12-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

CUSIP Number:

Gross Proceeds:

Bartering:

\$0.00

Federal Income Tax Withheld:

Proceeds:	\$18.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST EXCHANGE TRADED FD II FIRST
Second Notice Indicator:	
Date Acquired:	03-16-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941282
Date Sold or Disposed:	08-24-2018
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$5.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00

Accrued Market Discount Amount: \$0.00 FIRST TRUST EXCHANGE TRADED FD II FIRST Description:

Second Notice Indicator:

Date Acquired: 05-29-2018 Noncovered Security Indicator: Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Box not checked no Filing Requirement Box not checked not reporting

Proceeds from Collectibles:

# Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941283
Date Sold or Disposed:	08-24-2018
CUSIP Number:	33734X846
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$21.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	FIRST TRUST EXCHANGE TRADED FD II FIRST
Second Notice Indicator:	
Date Acquired:	06-29-2018

Noncovered Security Indicator:

Type of Gain or Loss Code:

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator:

Nothing checked

Short-term

FATCA Filing Requirement: Proceeds from Collectibles: Box not checked no Filing Requirement Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941284
Date Sold or Disposed:	04-04-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	
Date Acquired:	08-16-2017
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$

Loss Not Allowed Indicator:

FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941285
Date Sold or Disposed:	04-04-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	
Date Acquired:	09-29-2017
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type: Original document Account Number (Optional): 7SC45620248941286 Date Sold or Disposed: 04-04-2018 CUSIP Number: 37950E416 Gross Proceeds: Gross proceeds \$0.00 Bartering: \$0.00 Federal Income Tax Withheld: Proceeds: \$51.00 Aggregate Profit or (Loss): \$0.00 \$0.00 Realized Profit or (Loss): Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$50.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET Second Notice Indicator: Date Acquired: 10-03-2017 Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term

Loss Not Allowed Indicator:

Applicable Check Box on Form 8949:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

being reported to the IRS

Short term transaction for which the cost or other basis is

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

Original document
75C45620248941287

17/27, 11.70 AW	Wage and moome0002 ALL FORMO 201012 100047 200000-10
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$19.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	
Date Acquired:	11-01-2017
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941288
Date Sold or Disposed:	04-04-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$19.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00

Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$20.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET Second Notice Indicator: 11-30-2017 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator: FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941289
Date Sold or Disposed:	04-04-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	
Date Acquired:	01-08-2018

Noncovered Security Indicator:

Nothing checked

Type of Gain or Loss Code: Short-term

Applicable Check Box on Form 8949:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

# Recipient:

FAIRFIELD, CA 94534-0000

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23

Cubmicaion Timo.	Oniginal degument
Submission Type:	Original document
Account Number (Optional):	7SC45620248941290
Date Sold or Disposed:	04-04-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$45.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$50.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	

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Date Acquired: 01-31-2018

Noncovered Security Indicator:

Type of Gain or Loss Code:

Short-term

Applicable Check Box on Form 8949:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SC45620248941291 Account Number (Optional): Date Sold or Disposed: 04-04-2018 CUSIP Number: 37950E416 Gross Proceeds: Gross proceeds \$0.00 Bartering: Federal Income Tax Withheld: \$0.00 \$45.00 Proceeds: Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$50.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET Description: Second Notice Indicator: 03-01-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

## Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING

Loss Not Allowed Indicator:
FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

ONE DALLAS CENTER
DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941292
Date Sold or Disposed:	04-04-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$25.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$30.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	
Date Acquired:	03-16-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Proceeds from Collectibles:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941293
Date Sold or Disposed:	08-24-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$19.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	
Date Acquired:	05-29-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

# Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

## Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

CUSIP Number:

Gross Proceeds:

Bartering:

\$0.00

Federal Income Tax Withheld:

Proceeds:	\$18.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET
Second Notice Indicator:	
Date Acquired:	06-29-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941295
Date Sold or Disposed:	08-24-2018
CUSIP Number:	37950E416
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$2.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$3.00
Wash Sale Loss Disallowed:	\$0.00

Accrued Market Discount Amount: \$0.00

Description: GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ET

Second Notice Indicator:

Date Acquired: 07-24-2018

Noncovered Security Indicator: Nothing checked

Type of Gain or Loss Code: Short-term

Applicable Check Box on Form 8949:

Short term transaction for which the cost or other basis is

being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Box not checked no Filing Requirement

Proceeds from Collectibles:

Box not checked not reporting

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453

APEX CLEARING

ONE DALLAS CENTER

DALLAS, TX 75201-0000

### Recipient:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941296
Date Sold or Disposed:	04-04-2018
CUSIP Number:	464288760
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES TRUST ISHARES U S AEROSPACE &
Second Notice Indicator:	

Applicable Check Box on Form 8949:

Noncovered Security Indicator:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

Type of Gain or Loss Code:

Date Acquired:

10-17-2017

Short-term

Nothing checked

FATCA Filing Requirement: Proceeds from Collectibles: Box not checked no Filing Requirement
Box not checked not reporting

Box not checked no Filing Requirement

Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941297
Date Sold or Disposed:	04-04-2018
CUSIP Number:	464288760
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$7.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$8.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES TRUST ISHARES U S AEROSPACE &
Second Notice Indicator:	
Date Acquired:	01-16-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$
Loss Not Allowed Indicator:	

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

FATCA Filing Requirement:

Proceeds from Collectibles:

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941298
Date Sold or Disposed:	04-04-2018
CUSIP Number:	464288760
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES TRUST ISHARES U S AEROSPACE &
Second Notice Indicator:	
Date Acquired:	03-05-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Proceeds from Collectibles:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941299
Date Sold or Disposed:	04-04-2018
CUSIP Number:	464288760
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES TRUST ISHARES U S AEROSPACE &
Second Notice Indicator:	
Date Acquired:	03-20-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Proceeds from Collectibles:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

Original document
7SC45620248941300

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CUSIP Number:	464288224
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES S&P GLOBAL CLEAN ENERGY INDEX F
Second Notice Indicator:	
Date Acquired:	08-16-2017
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941301
Date Sold or Disposed:	04-04-2018
CUSIP Number:	464288224
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00

Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$10.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: ISHARES S&P GLOBAL CLEAN ENERGY INDEX F Second Notice Indicator: 09-29-2017 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator: FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941302
Date Sold or Disposed:	04-04-2018
CUSIP Number:	464288224
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$20.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES S&P GLOBAL CLEAN ENERGY INDEX F
Second Notice Indicator:	
Date Acquired:	11-01-2017

Noncovered Security Indicator:

Nothing checked

Type of Gain or Loss Code: Short-term

Applicable Check Box on Form 8949:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement
Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7sC45620248941303
Date Sold or Disposed:	03-16-2018
CUSIP Number:	464289875
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$10.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES CORE MODERATE ALLOCATION FUND E
Second Notice Indicator:	

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Date Acquired: 08-14-2017

Noncovered Security Indicator:

Type of Gain or Loss Code:

Short-term

Applicable Check Box on Form 8949:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SC45620248941304 Account Number (Optional): Date Sold or Disposed: 03-16-2018 CUSIP Number: 464289875 Gross Proceeds: Gross proceeds \$0.00 Bartering: Federal Income Tax Withheld: \$0.00 \$10.00 Proceeds: Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$10.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 ISHARES CORE MODERATE ALLOCATION FUND E Description: Second Notice Indicator: 09-29-2017 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949:

being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING

ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

PAIRFIELD, CA 94004 0000	
Submission Type:	Original document
Account Number (Optional):	7SC45620248941305
Date Sold or Disposed:	03-16-2018
CUSIP Number:	464289867
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$5.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES CORE GROWTH ALLOCATION FUND ETF
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Proceeds from Collectibles:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941306
Date Sold or Disposed:	08-24-2018
CUSIP Number:	464289867
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$5.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES CORE GROWTH ALLOCATION FUND ETF
Second Notice Indicator:	
Date Acquired:	05-29-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

CUSIP Number:

Gross Proceeds:

Bartering:

\$0.00

Federal Income Tax Withheld:

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Proceeds:	\$5.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	ISHARES CORE GROWTH ALLOCATION FUND ETF
Second Notice Indicator:	
Date Acquired:	06-29-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941308
Date Sold or Disposed:	03-26-2018
CUSIP Number:	478160104
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00

Accrued Market Discount Amount: \$0.00

Description: JOHNSON & JOHNSON

Second Notice Indicator:

Date Acquired: 03-16-2018

Noncovered Security Indicator: Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949:

being reported to the IRS

Short term transaction for which the cost or other basis is

Box not checked not reporting

Loss Not Allowed Indicator:

FATCA Filing Requirement: Box not checked no Filing Requirement

Proceeds from Collectibles:

### Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453

APEX CLEARING

ONE DALLAS CENTER

DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941309
Date Sold or Disposed:	03-26-2018
CUSIP Number:	594918104
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	MICROSOFT CORP
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term

Applicable Check Box on Form 8949:

Loss Not Allowed Indicator:

being reported to the IRS

FATCA Filing Requirement: Proceeds from Collectibles: Box not checked no Filing Requirement
Box not checked not reporting

Box not checked no Filing Requirement

Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453

APEX CLEARING

ONE DALLAS CENTER

DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941310
Date Sold or Disposed:	04-04-2018
CUSIP Number:	641101106
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31	\$0.00
Prior Year:	¥0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	NETFLIX COM INC
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

Loss Not Allowed Indicator:
FATCA Filing Requirement:

Proceeds from Collectibles:

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941311
Date Sold or Disposed:	04-04-2018
CUSIP Number:	64110L106
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	NETFLIX COM INC
Second Notice Indicator:	
Date Acquired:	03-05-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement

# Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Proceeds from Collectibles:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

FATCA Filing Requirement:

Proceeds from Collectibles:

Submission Type: Original document Account Number (Optional): 7SC45620248941312 Date Sold or Disposed: 04-04-2018 CUSIP Number: 64110L106 Gross proceeds Gross Proceeds: \$0.00 Bartering: \$0.00 Federal Income Tax Withheld: Proceeds: \$8.00 Aggregate Profit or (Loss): \$0.00 \$0.00 Realized Profit or (Loss): Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$10.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: NETFLIX COM INC Second Notice Indicator: Date Acquired: 03-20-2018 Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator:

### Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Paver

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

Original document
7SC45620248941313

03-16-2018

Box not checked no Filing Requirement

= .,	11age and meeting 00027.121 01am 201012 1000 1120000 10
CUSIP Number:	72201R833
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$2.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$2.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	PIMCO ENHANCED SHORT MATURITY ACTIVE EX
Second Notice Indicator:	
Date Acquired:	03-15-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941314
Date Sold or Disposed:	05-07-2018
CUSIP Number:	72201R833
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$100.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00

Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$100.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: PIMCO ENHANCED SHORT MATURITY ACTIVE EX Second Notice Indicator: 04-16-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator: FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941315
Date Sold or Disposed:	05-21-2018
CUSIP Number:	72201R833
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$149.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$149.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	PIMCO ENHANCED SHORT MATURITY ACTIVE EX
Second Notice Indicator:	
Date Acquired:	04-16-2018

Noncovered Security Indicator:

Nothing checked

Type of Gain or Loss Code: Short-term

Applicable Check Box on Form 8949:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement
Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

FAIRFIELD, CA 94534-0000

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23

Submission Type:	Original document
Account Number (Optional):	7SC45620248941316
Date Sold or Disposed:	03-09-2018
CUSIP Number:	81369Y209
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	SELECT SECTOR SPDR TRUST THE HEALTH CAR
Second Notice Indicator:	
Date Acquired:	01-16-2018

Type of Gain or Loss Code:
Applicable Check Box on Form 8949:

Noncovered Security Indicator:

Short term transaction for which the cost or other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:
Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

Nothing checked

Short-term

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SC45620248941317 Account Number (Optional): Date Sold or Disposed: 03-08-2018 CUSIP Number: 78468R747 Gross Proceeds: Gross proceeds Bartering: \$0.00 Federal Income Tax Withheld: \$0.00 \$4.00 Proceeds: Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$5.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 SPDR SER TR SSGA GENDER DIVERSITY INDEX Description: Second Notice Indicator: 01-16-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

### Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING

ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

FATCA Filing Requirement:

Proceeds from Collectibles:

Submission Type: Original document Account Number (Optional): 7SC45620248941318 Date Sold or Disposed: 03-08-2018 CUSIP Number: 78468R747 Gross Proceeds: Gross proceeds \$0.00 Bartering: \$0.00 Federal Income Tax Withheld: Proceeds: \$48.00 Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$50.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: SPDR SER TR SSGA GENDER DIVERSITY INDEX Second Notice Indicator: Date Acquired: 01-31-2018 Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator:

### Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000 Box not checked no Filing Requirement

Submission Type:	Original document
* *	7SC45620248941319
Account Number (Optional):	
Date Sold or Disposed:	03-26-2018
CUSIP Number:	922908751
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD INDEX FUNDS VANGUARD SMALL-CAP
Second Notice Indicator:	
Date Acquired:	02-28-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

CUSIP Number:

Gross Proceeds:

Bartering:

Federal Income Tax Withheld:

Original document
7SC45620248941320
03-26-2018
03-26-2018
92204A702
92204A702
\$0.00

_	
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD SECTOR INDEX FDS VANGUARD INFO
Second Notice Indicator:	
Date Acquired:	01-16-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941321
Date Sold or Disposed:	03-26-2018
CUSIP Number:	92204A702
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$9.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$10.00
Wash Sale Loss Disallowed:	\$0.00

Accrued Market Discount Amount: \$0.00

VANGUARD SECTOR INDEX FDS VANGUARD INFO Description:

Second Notice Indicator:

Date Acquired: 03-05-2018

Noncovered Security Indicator: Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Box not checked no Filing Requirement

Proceeds from Collectibles:

Box not checked not reporting

### Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING

ONE DALLAS CENTER

DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941322
Date Sold or Disposed:	03-09-2018
CUSIP Number:	922042858
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD FTSE EMERGING MARKETS ETF FTSE
Second Notice Indicator:	

01-16-2018 Date Acquired:

Noncovered Security Indicator: Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949:

being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Proceeds from Collectibles: Box not checked no Filing Requirement
Box not checked not reporting

### Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SC45620248941323 Account Number (Optional): Date Sold or Disposed: 03-26-2018 CUSIP Number: 921910873 Gross Proceeds: Gross proceeds Bartering: \$0.00 Federal Income Tax Withheld: \$0.00 Proceeds: \$52.00 Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$50.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 VANGUARD MEGA CAP ETF MSCI US LARGE CAP Description: Second Notice Indicator: 10-03-2017 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

### Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941324
Date Sold or Disposed:	03-26-2018
CUSIP Number:	921910873
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$19.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD MEGA CAP ETF MSCI US LARGE CAP
Second Notice Indicator:	
Date Acquired:	11-30-2017
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS $$
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

### Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362

LEANN LYTLE

3700 LYON RD APT 23

FAIRFIELD, CA 94534-0000

Submission Type: Original document Account Number (Optional): 7SC45620248941325 Date Sold or Disposed: 03-26-2018 CUSIP Number: 921910873 Gross Proceeds: Gross proceeds \$0.00 Bartering: \$0.00 Federal Income Tax Withheld: Proceeds: \$46.00 Aggregate Profit or (Loss): \$0.00 \$0.00 Realized Profit or (Loss): Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$50.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: VANGUARD MEGA CAP ETF MSCI US LARGE CAP Second Notice Indicator: Date Acquired: 01-31-2018 Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term

Loss Not Allowed Indicator:

Applicable Check Box on Form 8949:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement
Box not checked not reporting

being reported to the IRS

Short term transaction for which the cost or other basis is

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:

Account Number (Optional):

Date Sold or Disposed:

Original document
7SC45620248941326
03-26-2018

/ 17/27, 11.70 AW	Wage and meetile0002 ALE 1 O'Milo 201012 100047200000-10
CUSIP Number:	921910873
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$19.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD MEGA CAP ETF MSCI US LARGE CAP
Second Notice Indicator:	
Date Acquired:	03-14-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

#### Payer

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941327
Date Sold or Disposed:	03-26-2018
CUSIP Number:	921910873
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$38.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00

Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: Cost or Basis: \$40.00 Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 Description: VANGUARD MEGA CAP ETF MSCI US LARGE CAP Second Notice Indicator: 03-16-2018 Date Acquired: Nothing checked Noncovered Security Indicator: Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS Loss Not Allowed Indicator: FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941328
Date Sold or Disposed:	03-26-2018
CUSIP Number:	921910873
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$18.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$19.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD MEGA CAP ETF MSCI US LARGE CAP
Second Notice Indicator:	
Date Acquired:	03-20-2018

Noncovered Security Indicator:

Nothing checked

Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement Box not checked not reporting

### Form 1099-B Proceeds From Broker and Barter Exchange **Transactions**

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7sC45620248941329
Date Sold or Disposed:	03-16-2018
CUSIP Number:	92204A884
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$4.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$5.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD SECTOR INDEX FDS VANGUARD TELE
Second Notice Indicator:	

02-28-2018 Date Acquired:

Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term

Short term transaction for which the cost or other basis is Applicable Check Box on Form 8949: being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement: Box not checked no Filing Requirement Proceeds from Collectibles: Box not checked not reporting

#### Payer:

Payer's Federal Identification Number (FIN):132967453 APEX CLEARING ONE DALLAS CENTER DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document Account Number (Optional): 7SC45620248941330 Date Sold or Disposed: 08-24-2018 CUSIP Number: 92204A884 Gross Proceeds: Gross proceeds Bartering: \$0.00 Federal Income Tax Withheld: \$0.00 \$5.00 Proceeds: Aggregate Profit or (Loss): \$0.00 Realized Profit or (Loss): \$0.00 Unrealized Profit or (Loss) 12/31 \$0.00 Prior Year: Unrealized Profit or (Loss) 12/31 \$0.00 Current Year: \$5.00 Cost or Basis: Wash Sale Loss Disallowed: \$0.00 Accrued Market Discount Amount: \$0.00 VANGUARD WORLD FUNDS VANGUARD COMMUNICA Description: Second Notice Indicator: 05-29-2018 Date Acquired: Noncovered Security Indicator: Nothing checked Type of Gain or Loss Code: Short-term Short term transaction for which the cost or other basis is

Applicable Check Box on Form 8949:

Short term transaction for which the cost of other basis is being reported to the IRS

Loss Not Allowed Indicator:

FATCA Filing Requirement:

Proceeds from Collectibles:

Box not checked no Filing Requirement

Box not checked not reporting

## Form 1099-B Proceeds From Broker and Barter Exchange Transactions

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING

#### 2/14/24, 11:40 AM

ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type:	Original document
Account Number (Optional):	7SC45620248941331
Date Sold or Disposed:	08-24-2018
CUSIP Number:	92204A884
Gross Proceeds:	Gross proceeds
Bartering:	\$0.00
Federal Income Tax Withheld:	\$0.00
Proceeds:	\$20.00
Aggregate Profit or (Loss):	\$0.00
Realized Profit or (Loss):	\$0.00
Unrealized Profit or (Loss) 12/31 Prior Year:	\$0.00
Unrealized Profit or (Loss) 12/31 Current Year:	\$0.00
Cost or Basis:	\$20.00
Wash Sale Loss Disallowed:	\$0.00
Accrued Market Discount Amount:	\$0.00
Description:	VANGUARD WORLD FUNDS VANGUARD COMMUNICA
Second Notice Indicator:	
Date Acquired:	06-29-2018
Noncovered Security Indicator:	Nothing checked
Type of Gain or Loss Code:	Short-term
Applicable Check Box on Form 8949:	Short term transaction for which the cost or other basis is being reported to the IRS
Loss Not Allowed Indicator:	
FATCA Filing Requirement:	Box not checked no Filing Requirement
Proceeds from Collectibles:	Box not checked not reporting

### Form 1099-G

#### Paver

Payer's Federal Identification Number (FIN):680204061 STATE OF CALIFORNIA FRANCHISE TAX BOARD P.O. BOX 942840 SACRAMENTO, CA 94240-0040

#### Recipient:

Recipient's Identification Number: 249-82-6362 LYTLE LEANN J 3700 LYON RD FAIRFIELD, CA 94534-7978

Submission Type:	Original document
Account Number (Optional):	249826362A
RTAA Payments:	\$0.00
Tax Withheld:	\$0.00
Taxable Grants:	\$0.00
Unemployment Compensation:	\$0.00
Agricultural Subsidies:	\$0.00
Prior Year Refund:	\$11.00
Market gain on Commodity Credit Corporation loans repaid:	\$0.00
Year of Refund:	2017
1099G Offset:	Not Refund, Credit, or Offset for Trade or Business

### Form 1099-R Distributions from Pensions, Annuities, Retire or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

#### Payer:

Payer's Federal Identification Number (FIN):132967453
APEX CLEARING
ONE DALLAS CENTER
DALLAS, TX 75201-0000

#### Recipient:

Recipient's Identification Number: 249-82-6362 LEANN LYTLE 3700 LYON RD APT 23 FAIRFIELD, CA 94534-0000

Submission Type: Original document 7SZ1014510262 Account Number (Optional): Early distribution from a Roth Distribution Code Value: Distribution Code: Distribution Code Value: Not significant Distribution Code: Tax Amount Undetermined Code: Tax amount not determined Total Distribution Code: Not checked First Year Roth Contribution: 0000 SEP Indicator: IRA/SEP/SIMP box not checked FATCA Indicator: not FATCA 00-00-0000 Date of Payment for Reportable Death Benefits under Section 6050Y: Tax Withheld: \$0.00 Total Employee Contributions: \$0.00 \$0.00 Unrealized Appreciation: Other Income: \$0.00 Gross Distribution: \$140.00 Taxable Amount: \$0.00 Eligible Capital Gains: \$0.00

Amount to IRR:

\$0.00

This Product Contains Sensitive Taxpayer Data